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6-15-04  
Lisa M. Davis  
Lisa M. Davis  
Clifford Chance US LLP

Docket No. 5677-215

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Alan P. Kozikowski et al.

Filed: February 20, 2004 Group Art Unit: TBA

Serial No: 10/783,801 Examiner: TBA

For: SYNTHESIS OF 4 $\alpha$ -ARYLEPICATECHINS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon with the exception of the United States Patents.

Applicants respectfully request the Examiner's consideration of the cited reference and entry into the record of this application and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

The present Information Disclosure Statement has been submitted prior to the receipt of an Office Action in this application. Accordingly, no fee is due. However, in the event that a first Office Action was mailed on or before the date of submission of this disclosure statement, the Commissioner is hereby authorized to charge any fees to deposit Account No. 50-0521.

Date:

June 15, 2004

Respectfully submitted,

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| FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office<br>INFORMATION DISCLOSURE STATEMENT<br>(Use several sheets if necessary) |  |
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Serial No. 10/783,801

Inventors: Alan P. Kozikowski et al.

Docket No. 5677-215

#### U.S. PATENT DOCUMENTS

| EXAMINER INITIAL |  | DOCUMENT NUMBER | DATE    | NAME                  | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|--|-----------------|---------|-----------------------|-------|----------|----------------------------|
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#### FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE     | COUNTRY | TRANSLATION |
|------------------|-----------------|----------|---------|-------------|
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|                  | WO 99/19319     | 4-22-99  | WO      |             |
|                  | EP 1 026 164 A1 | 8/9/00   | EPO     |             |

#### OTHER DOCUMENTS

| EXAMINER INITIAL |  | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.                                                                                                                                                                                 |
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|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| EXAMINER                                                                                                                                                                                                                                    | DATE CONSIDERED |
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Form PTO-1449 [6-4]

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Commissioner of Patents  
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**CHANGE OF CORRESPONDENCE ADDRESS**

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Please note that the undersigned is the Attorney of record.

Respectfully submitted,

Date: *June 15, 2004*

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